


ACKNOWLEDGEMENT RECEIPT

Electronic Version

Stylesheet Version v01

Title of Invention	A System for Securities Exchange Direct Trading and Exchange Direct Shorting																																																																																														
Submission Type :	Utility Patent Filing																																																																																														
Application Number:	10/604762																																																																																														
EFS ID:	45722																																																																																														
Server Response:	<table border="1"><thead><tr><th>Confirmation Code</th><th>Message</th></tr></thead><tbody><tr><td>ISVR1</td><td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td></tr><tr><td>ICON1</td><td>1761</td></tr><tr><td>WGEN24</td><td>Filename= all XMLs having <invention-title> BusinessRule= Common elements across multiple XML documents within the submission package do not have synchronized data content. #Supporting Msg:<invention-title> not the same or having font format.</td></tr></tbody></table>			Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	1761	WGEN24	Filename= all XMLs having <invention-title> BusinessRule= Common elements across multiple XML documents within the submission package do not have synchronized data content. #Supporting Msg:<invention-title> not the same or having font format.																																																																																				
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First Named Applicant:	Glenn Ballman																																																																																														
Attorney Docket Number:	001-185																																																																																														
Timestamp:	2003-08-14 21:43:01 EDT																																																																																														
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File Listing:	<table border="1"><thead><tr><th>Doc. Name</th><th>File Name</th><th>Size (Bytes)</th><th>Date Produced (yyyymmdd)</th></tr></thead><tbody><tr><td>us-request</td><td>secexch-usrequ.xml</td><td>1311</td><td>2003-08-14</td></tr><tr><td>us-request</td><td>us-request.dtd</td><td>19064</td><td>2003-08-14</td></tr><tr><td>us-request</td><td>us-request.xml</td><td>33300</td><td>2003-08-14</td></tr><tr><td>us-declaration</td><td>secexch-usdecl.xml</td><td>708</td><td>2003-08-14</td></tr><tr><td>us-declaration</td><td>us-declaration.dtd</td><td>3833</td><td>2003-08-14</td></tr><tr><td>us-declaration</td><td>us-declaration.xml</td><td>10015</td><td>2003-08-14</td></tr><tr><td>us-fee-sheet</td><td>secexch-usfees.xml</td><td>1555</td><td>2003-08-14</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.xml</td><td>24912</td><td>2003-08-14</td></tr><tr><td>us-fee-sheet</td><td>us-fee-sheet.dtd</td><td>10901</td><td>2003-08-14</td></tr><tr><td>us-power-of-attorney-grant</td><td>secexch-uspoat.xml</td><td>991</td><td>2003-08-14</td></tr><tr><td>us-power-of-attorney-grant</td><td>us-power-of-attorney-grant.dtd</td><td>4923</td><td>2003-08-14</td></tr><tr><td>us-power-of-attorney-grant</td><td>us-power-of-attorney-grant.xml</td><td>12448</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>exch-trans.xml</td><td>40224</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>us-application-body.xml</td><td>83497</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>application-body.dtd</td><td>49498</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>wipo.ent</td><td>4956</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>mathml2.dtd</td><td>54588</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>mathml2-qname-1.mod</td><td>13225</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>isoamsa.ent</td><td>5191</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>isoamsb.ent</td><td>3988</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>isoamsc.ent</td><td>1460</td><td>2003-08-14</td></tr><tr><td>application-body</td><td>isoamsn.ent</td><td>5620</td><td>2003-08-14</td></tr></tbody></table>			Doc. Name	File Name	Size (Bytes)	Date Produced (yyyymmdd)	us-request	secexch-usrequ.xml	1311	2003-08-14	us-request	us-request.dtd	19064	2003-08-14	us-request	us-request.xml	33300	2003-08-14	us-declaration	secexch-usdecl.xml	708	2003-08-14	us-declaration	us-declaration.dtd	3833	2003-08-14	us-declaration	us-declaration.xml	10015	2003-08-14	us-fee-sheet	secexch-usfees.xml	1555	2003-08-14	us-fee-sheet	us-fee-sheet.xml	24912	2003-08-14	us-fee-sheet	us-fee-sheet.dtd	10901	2003-08-14	us-power-of-attorney-grant	secexch-uspoat.xml	991	2003-08-14	us-power-of-attorney-grant	us-power-of-attorney-grant.dtd	4923	2003-08-14	us-power-of-attorney-grant	us-power-of-attorney-grant.xml	12448	2003-08-14	application-body	exch-trans.xml	40224	2003-08-14	application-body	us-application-body.xml	83497	2003-08-14	application-body	application-body.dtd	49498	2003-08-14	application-body	wipo.ent	4956	2003-08-14	application-body	mathml2.dtd	54588	2003-08-14	application-body	mathml2-qname-1.mod	13225	2003-08-14	application-body	isoamsa.ent	5191	2003-08-14	application-body	isoamsb.ent	3988	2003-08-14	application-body	isoamsc.ent	1460	2003-08-14	application-body	isoamsn.ent	5620	2003-08-14
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